



commerce
wealth

ANTI-MONEY LAUNDERING POLICY

1. AML Law Compliance

1.1. The Company upholds its integrity of observing regulations under the Anti-Money Laundering (AML) law. The intention of this policy is to filter illegal activities including securities fraud, terrorist financing, and other unlawful acts in relation to concealing the origin of illegally obtained money.

1.2. In compliance with the respective financial regulations, the Company conducts authentication and verification procedures with the Client's identification. The Client must provide identification documents accordingly to complete the procedure. The Company keeps record of the Client's documents and transactions for security purposes.

1.3. The Company and its executives, managers, and employees, including the Clients hereby perform the trading activities in accordance with the Anti-Money Laundering Policy, where necessary documents and information must be provided and completed accordingly.

1.4. All transactions performed through the Company's official website or through the corresponding banks and financial institutions are correlatively documented and recorded for security purposes. In accordance with the AML Policy, the AML Compliance Committee executes Suspicious Activity Reports (or SARs) and other mandatory disclosure in writing to the respective legal administration.

1.5. The Company and its workforce commit to the prevention of money laundering and all other unlawful activities. The business division of the Company undergoes risk-based methods judiciously estimated to filter and identify the foregoing reporting or writing under the International Anti-Money Laundering Law.

1.6. The Company is unwavering to its public responsibility to avert illegal misconduct. The Company and its workforce strictly observe the verification practices and are strictly vigilant to further prevent and control risks that can affect the Company's operations.

1.7. The Company will always be informed about any national and global occurrence on initiatives to further prevent terrorism funding, money laundering, tax evasion, and other legal financing activities. The Company justifies the protection of its managerial operations, personal records of employees and the Clients, and all forms of documents confidential in nature. Any form of threat in relation to illegal financing activities will deal with legal proceedings.

2. Identity Verification

2.1. The additional supporting policy of the Company, Know Your Customer Policy, is an established procedure to verify the identity of all users and Clients of CommerceWealth. The Client must refer to this policy as included on the Website.

2.2. The verification of identity includes submission of the Client's complete name and address, date of birth, proof of documentation, and other personal information as requested by the Company.

2.3. The Client affirms that his/her submitted information and documents are true and accurate. The Company reserves the right to reject, cancel, or suspend any transaction and service if any form of information discrepancy occurs.

2.4. Transactions by third parties in lieu and on behalf of the Client need a power of attorney (POA) subject to the approval of the Company. If the third-party transaction is not approved, the Company reserves the right to consider the transaction invalid without providing an explanation.

